

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SERIAL NUMBER FILING DATE ATTORNEY DOCKET NO. FIRST NAMED APPLICANT E. 08/545,540 11/16/95 MAGGS JA0-36768 **EXAMINER** 07M1/0226 OLIFF AND BERRIDGE P 0 B0X 19928 PAPER NO. ART UNIT ALEXANDRIA VA 22320 2201 DATE MAILED: 02/26/96

## SECRECY ORDER

35 U.S.C. §§181-188

and
Permit for Disclosing Classified or "Classifiable" Subject Matter

The above-identified patent application contains subject matter which is either classification "classifiable" in the opinion of the sponsoring defense agency named herein CONFIDENT [V] This application is CLASSIFIED at the level of:	ied or
[ ] This application is NOT CLASSIFIED, but would, in the opinion of the sponsoring defense agency named herein, be CLASSIFIABLE at the level of:	

ALL PRINCIPALS<sup>2</sup> IN THIS APPLICATION ARE ORDERED TO KEEP THE SUBJECT MATTER THEREOF IN SECRECY UNDER 35 U.S.C. §181.

The subject matter of this application may not be published or disclosed to any person except as specifically authorized herein or subsequently authorized by written modification of this Secrecy Order granted by the Commissioner of Patents & Trademarks (see 37 CFR §5.5).

<sup>1</sup> The term "classifiable" as used herein refers to subject matter that is not actually classified but is sufficiently sensitive, in the opinion of the sponsoring defense agency named herein, to have been classified under E.O. 12356 if the subject matter were known to be owned by or under control of the U.S. government.

<sup>&</sup>lt;sup>2</sup> "Principals" include all patent applicants, their heirs, assignees, licensees and their attorneys and agents.

The subject matter of this application must be safeguarded under conditions that will provide adequate protection and prevent access by unauthorized persons. When copies of the subject matter are no longer needed, they should be destroyed by a method that will prevent disclosure of the contents or reconstruction of the document.

The subject matter of this application may not be disclosed to any person except as authorized herein without written modification of this Secrecy Order by the Commissioner of Patents & Trademarks.

Any other patent application already or hereafter filed in the U.S. or any foreign country which contains any significant part of the subject matter of the above-identified patent application also falls within the scope of this Order. If such other patent application is not currently under a Secrecy Order imposed by the U.S. Patent and Trademark Office, it and the common subject matter must be brought to the immediate attention of:

Director, Group 220 Attention: Licensing and Review U.S. Patent and Trademark Office Washington, D.C. 20231.

This Order should not be construed in any way to mean that the U.S. Government has adopted or contemplates adoption of the invention disclosed in this application and it is not any indication of the value of such invention. Additionally, this Order is not an indication in and of itself that the subject matter of this application is or should be classified.

This Secrecy Order was recommended to the Commissioner of Patents & Trademarks by the following sponsoring defense agency:

Mr. Anthony Lane
U.S. Army, Patents, Copyrights
and Trademarks Division
Office of the Judge Advocate General
5611 Columbia Pike, Room 322A
Falls Church, VA 22041-5013
(703) 756-2624

## Permit for Disclosing to Government Employees and Other Specified Persons ("Permit A")

The principals designated in this Order are authorized to disclose the subject matter to any person of the classes hereafter specified if such person is known to the principal disclosing to be concerned directly in an official capacity with the subject matter, provided that all reasonable safeguards are taken to otherwise protect the invention from unauthorized disclosure. The specified classes are:

- a. any officer or employee of any department, independent agency or bureau of the Government of the United States; or
- b. any person designated specifically by the head of any department, independent agency or bureau of the Government of the United States, or by his duly authorized subordinate, as a proper individual to receive the subject matter.

Principals under this Order are further authorized to disclose the subject matter of this application to the minimum necessary number of persons of known loyalty and discretion.

the subject matter is declassified, this fact should be brought to the attention of the sponsoring defense agency.

B. If the subject matter of this application is "classifiable" but not classified:
Principals to this Secrecy Order employed at a facility which has a current DoD Security Agreement (DoD Form 441) are authorized to disclose on a "need-to-know" basis the subject matter of this patent application to other persons at that facility having a personnel security clearance at least as high as the level of protection specified on page 1 of this Secrecy Order provided the provisions of the "Industrial Security Manual for Safeguarding Classified Information" (DoD 5220.22-M) are complied with and the authorized person to whom the subject matter is disclosed is furnished with a copy of this Secrecy Order and informed that this Secrecy Order is applicable to the subject matter disclosed.

Principals employed at a facility that does <u>not</u> have a current DoD Security Agreement must obtain prior written modification of this Secrecy Order from the Commissioner of Patents & Trademarks for any further disclosure of the subject matter of this application to any person. See 37 CFR §5.5.

This permit for disclosing classified or "classifiable" information does <u>not</u> authorize disclosure of the subject matter of the patent application through (1) the filing of any foreign application without specific permission of the Patent and Trademark Office, or (2) the export of any item commodity or technical data without the required export license.

Robert E. Garrett

Director, Special Laws Administration

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Since the subject matter covered by this Secreey Order is not actually classified, certain provisions of the Industrial Security Manual (ISM) may not strictly apply, such as derivative classification, downgrading/declassification instructions, and portion and page markings. Facilities encountering problems complying with any provisions of the ISM should directly query the defense agency sponsoring this Secreey Order for appropriate security guidance.